

06-27-05

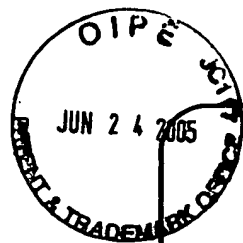
IFW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number

10/777,860

Filing Date

February 11, 2004

First Named Inventor

Chunmei Liu

Art Unit

1632

Examiner Name

Unknown

Attorney Docket Number

3581.1

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/ Incomplete Application

☐ Reply to Missing Parts under 37 CFR1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ Landscape Table on CD

☐ After Allowance Communication to TC

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

-Form 1449 (1 page)

-References (8)

-Return Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

Affymetrix Inc.

Signature

Sandra E. Wells

Printed Name

Sandra E. Wells

Date

6/24/05

Reg. No.

52,349

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature

Pamela Leong

Typed or printed name

Pamela Leong

Date

6/24/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

Attorney Docket No. 3581.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chunmei Liu

Examiner: Unknown

Serial No: 10/777,860

Group Art Unit: 1632

Filing Date: 02/11/2004

INFORMATION DISCLOSURE
STATEMENT

Title: **Gene Expression Profiling in
Colon Cancers**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for consideration in connection with the above-identified patent application.

Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made; or (3) an admission that the information cited herein is, or is considered to be, material to patentability in any way, including that as defined in § 1.56(b).

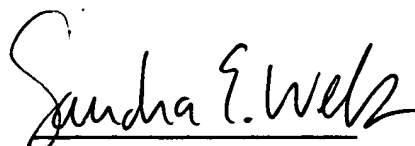
Applicants believe that no fee is required for submission of this statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby

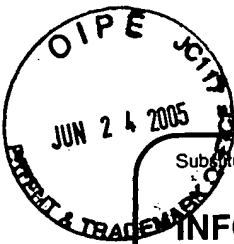
authorized to charge the required fees to Account No. 01-0431 in order to have this Information Disclosure Statement considered.

Dated: 6/24/05

Respectfully submitted,

Customer No. 22886
Legal Department
Affymetrix, Inc.
3380 Central Expressway
Santa Clara, CA 95051
Tel: (408) 731-5000/Fax (408) 731-5392


Sandra E. Wells
Reg. No. 52,349



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/777,860
Filing Date	February 11, 2004
First Named Inventor	Chunmei Liu
Art Unit	1632
Examiner Name	Unknown
Attorney Docket Number	3581.1

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	A1	US-6033860	3/7/2000	Lockhart et al.	
	A2	US- 6841348	1/11/2005	Warrington et al.	
	A3	US- 6884578	4/26/2005	Warrington et al.	
	A4	US- 2002142981A1	10/3/2002	Home et al.	
	A5	US- 2003113762A1	6/19/2003	Warrington, Janet A.	
	A6	US- 2003175736A1	9/18/2003	Chinnaiyan et al.	
	A7	US- 2003180770A1	9/25/2003	Damokosh et al.	
	A8	US- 2003219771A1	11/27/2003	Bevilacqua et al.	
	A9	US- 2004009489A1	1/15/2004	Golub et al.	
	A10	US- 2004063120A1	4/1/2004	Beer et al.	
	A11	US- 2004126755A1	7/1/2004	Stephan et al.	
	A12	US- 2004126784A1	7/1/2004	Hitoshi et al.	
	A13	US- 2004146907A1	7/29/2004	Smith, Victoria	
	A14	US- 2004146921A1	7/29/2004	Eveleigh et al.	
	A15	US- 2004191819A1	9/30/2004	Eveleigh et al.	
	A16	US- 2004219565A1	11/4/2004	Kauppinen et al.	
	A17	US- 2004241696A1	12/2/2004	Peinado et al.	
	A18	US- 2005009067A1	1/13/2005	Logsdon et al.	
	A19	US- 2005032065A1	2/10/2005	Afar et al.	
	A20	US- 2005064472A1	3/24/2005	Shekar et al.	
	A21	US- 2005095607A1	5/5/2005	Erlander et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	B1	EP1355149A2	10/22/2003	Wang, Yixin		
	B2	WO02101357A2	12/19/2002	SU et al.		
	B3	WO04033641A2	4/22/2004	SANCHEZ- CARBAYO et al.		
	B4	WO04066941A2	8/12/2004	Eveleigh et al.		
	B5	WO04081564A1	9/23/2004	BOWTELL et al.		
	B6	WO04105573A2	12/9/2004	SHOWE et al.		
	B7	WO05032495A2	4/14/2005	TAYLOR et al.		
	B8	WO9853319A2	11/26/1998	VOGELSTEIN et al.		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.